

# FAX TRANSMISSION

DATE: March 19, 2003

PTO IDENTIFIER: Application Number 09/824,647-Conf. #2662  
Patent Number

Inventor: Ginette Serrero

**FAX RECEIVED**

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MESSAGE TO: M. Yu (Examiner)

FAX NUMBER: 703-308-4315

GROUP 1600

FROM: DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP

Jeremy A. Cubert

PHONE: (202) 785-9700

Attorney Dkt. #: A7542.0000/P001-E

**7101110  
OFFICIAL**

PAGES (Including Cover Sheet): 17

**CONTENTS:** Amendment (11 pages);  
Amendment Transmittal (1 page);  
Fee Transmittal (1 page);  
Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page);  
Payment by credit card. Form PTO-2038 is attached (1 page); and  
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**DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP**  
2101 L Street NW, Washington, DC 20037-1526  
Telephone: (202) 785-9700 Facsimile: (202) 887-0689

**AMENDMENT TRANSMITTAL LETTER**Docket No.  
A7542.0000/P001-EApplication N.  
09/824,647-Conf. #2662Filing Date  
April 4, 2001Examiner  
M. YuArt Unit  
1642


Applicant(s): Ginette Serrero

Invention: 88KDA TUMORIGENIC GROWTH FACTOR AND ANTAGONISTS

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	48	- 20 =	28	x 18.00	504.00
Independent Claims	5	- 3 =	2	x 84.00	168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Two-Month Extension of Time					410.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>1,082.00</b>

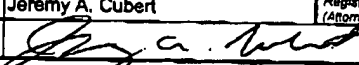
☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **04-1073**  
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.  
Jeremy A. Cubert  
Attorney Reg. No.: 40,399

Dated: March 19, 2003


DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
2101 L Street NW  
Washington, DC 20037-1526  
(202) 775-4786

I hereby certify that this correspondence is being facsimile transmitted to the Patent and  
Trademark Office, facsimile no. 703-308-4315, on the date shown below.

Dated: March 19, 2003 Signature:  (Jeremy A. Cubert)

<b>FEE TRANSMITTAL for FY 2003</b>		<b>Complete if Known</b>																																																																																																																																																																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/824,647-Conf. #2662																																																																																																																																																																																		
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The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																			
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BASIC FILING FEE</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>65</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> <td>Extension for reply within second month</td> <td>410.00</td> </tr> <tr> <td>1253</td> <td>630</td> <td>2253</td> <td>465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>2254</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>2255</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - 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late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for ex parte reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	65	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month	410.00	1253	630	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1480	130	1480	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
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Name (Print/Type) Jeremy A. Cubert		Complete (if applicable)																																																																																																																																																																																			
Signature 		Registration No. (Attorney/Agent) 40,399	Telephone (202) 785-9700																																																																																																																																																																																		
Date March 19, 2003		Date March 19, 2003																																																																																																																																																																																			

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Dated: March 19, 2003 Signature:  (Jeremy A. Cubert)

**Certificate of Transmission Under 37 CFR 1.8**

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Patent and Trademark Office

on March 19, 2003  
Date

  
Signature

Jeremy A. Cubert (40,399)  
Typed or printed name of person signing Certificate

**Note:** Each paper must have its own certificate of transmission, or this certificate  
must identify each submitted paper.

Amendment (11 pages);  
Amendment Transmittal (1 page);  
Fee Transmittal (1 page);  
Two Month Request for Extension of Time Under 37 CFR 1.136(a)  
(1page);  
Payment by credit card. Form PTO-2038 is attached (1 page); and  
Charge \$1,082.00 to credit card .

Amended #10  
Ex. 3) J 3.25.03

Received  
3-19-03

Docket No.: A7542.0000/P001-E  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Dr. Ginette Serrero

Application No.: 09/824,647

Group Art Unit: 1642

Filed: April 4, 2001

Examiner: M. Yu

For: 88KDA TUMORIGENIC GROWTH  
FACTOR AND ANTAGONISTS

AMENDMENT

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is in response to the Office Action dated October 1, 2002 (Paper No. 8).  
Concurrently herewith, Applicant has petitioned for a two-month extension of time and has  
paid the appropriate fee. Consequently, this response is timely filed by April 1, 2003.  
Please amend the identified application as follows and consider the following remarks:

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark  
Office, facsimile no. 703-308-4315, on the date shown below.

Dated: March 19, 2003

Signature: Jeremy A. Cubert (Jeremy A. Cubert)

1548308 v1: X551011.DOC

04/30/2003 KDOWNING 00000002 041073 09824647  
01 FC:1253 520.00 CH

04/27/2003 KDOWNING 00000003 09824647  
FC:1201 168.00  
FC:1202 504.00  
FC:1252 410.00